

香港聯合交易所有限公司  
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED  
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

## 通告 CIRCULAR

Subject: **SECOND PRACTICE SESSION FOR FIRMWARE UPGRADE OF HKEX BACKBONE NETWORK FOR SECURITIES MARKET SYSTEMS**

Enquiry: **Participant General Enquiry Hotline<sup>1</sup> (Tel: 2840 3626 E-mail: [trd@hkex.com.hk](mailto:trd@hkex.com.hk))**

With reference to the Exchange Circular (Ref. No.: [CT/154/23](#)), a second **optional Practice Session (PS2)** will be arranged on **25 November 2023 (Saturday)** for Exchange Participants (EPs) and China Connect Exchange Participants (CCEPs) to further verify their systems for the firmware upgrade of HKEX backbone network for OTP-C, OTP-CSC, OMD-C, and OMD-CC.

PS2 will simulate the system failover of OTP-C, OTP-CSC, OMD-C, and OMD-CC from primary site to backup site after the upgrade of backbone network firmware. All EPs and CCEPs are encouraged to participate in the PS2. Interested EPs and CCEPs are required to complete the registration form ([Attachment 1](#)) and return it to the Exchange **on or before 22 November 2023 (Wednesday)**. Detailed activity rundown of the PS2 will be provided to the registered EPs and CCEPs separately. EPs relying on information vendors to provide market data updates for trading purpose should liaise with their respective information vendors for providing market data support during the PS2.

Schedule of the PS2 are as below:

Date	Time	Testing Focus
25 November 2023	08:00 to 10:00	<u>At the Primary Site:</u> EPs: <ul style="list-style-type: none"><li>Login to OTP-C and simulate daily operations</li></ul> CCEPs: <ul style="list-style-type: none"><li>Limit to login to OTP-CSC only</li></ul>
	10:00 to ~11:00	HKEX Systems failover from the Primary Site to the Backup Site.

<sup>1</sup> All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

	~11:00 to 12:10	<u>At the Backup Site:</u> EPs: <ul style="list-style-type: none"><li>• Login to OTP-C and simulate daily operations</li></ul> CCEPs: <ul style="list-style-type: none"><li>• Limit to login to OTP-CSC only</li></ul>
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Post Release Tests will be further arranged in due course before the production rollout of the new firmware version.

Thank you in advance for your participation in the Practice Session.

Jeffrey Lam  
Head of Client Services  
Operations Division